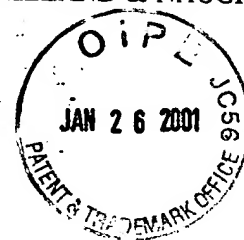


## Declaration for U.S. Patent Application



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
(Insert Title) LIGHTING EQUIPMENT

the specification of which is attached hereto unless the following is checked



was filed on 22/02/1999 as United States Application Number or PCT International Application Number  
PCT/JP99/00779 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications. See note A on back of this page)	<u>10-056103</u>	<u>Japan</u>	<u>20/02/1998</u>	Priority Claimed <u>X</u> Yes ___ No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u>10-093992</u>	<u>Japan</u>	<u>23/03/1998</u>	<u>X</u> Yes ___ No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u>10-094037</u>	<u>Japan</u>	<u>23/03/1998</u>	<u>X</u> Yes ___ No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u>10-095270</u>	<u>Japan</u>	<u>24/03/1998</u>	<u>X</u> Yes ___ No
	(Number)	(Country)	(Day/Month/Year Filed)	

(See note B on back of this page)

X See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List Prior U.S. Applications)

<u>(Appln. Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status: Patented, Pending, Abandoned)</u>
<u>(Appln. Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status: Patented, Pending, Abandoned)</u>
<u>(Appln. Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status: Patented, Pending, Abandoned)</u>

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

James E. Armstrong, III, Reg. No. 18,366; William F. Westerman, Reg. No. 29,988; Ken-Ichi Hattori, Reg. No. 32,861; Le-Nhung McLeland, Reg. No. 31,541; Ronald F. Naughton, Reg. No. 24,616; William G. Kratz, Jr., Reg. No. 22,631; Mel R. Quintos, Reg. No. 31,898; Donald W. Hanson, Reg. No. 27,133; Stephen G. Adrian, Reg. No. 32,878; Scott M. Daniels, Reg. No. 32,562; James P. Welch, Reg. No. 17,379; John

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <b>August 21, 2000</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLN. NO. (if known):
INTERNATIONAL APPLICATION NO.: <b>PCT/JP99/00779</b>	INTERNATIONAL FILING DATE: <b>FEBRUARY 22, 1999</b>	PRIORITY DATE CLAIMED: <b>FEBRUARY 20, 1998</b>
TITLE OF INVENTION: <b>LIGHTING EQUIPMENT</b>		
APPLICANT(S) FOR DO/EO/US: <b>Teiji KOHARA, Yuichiro KONISHI and Junko MARUYAMA</b>		

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).


**ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report and 10 references.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: 4 sheets of drawings.

U.S. APPLICATION NO. (if known)	INTERNATIONAL APPLICATION NO. <b>PCT/JP99/00779</b>	DATE: <b>August 21, 2000</b>
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17. ☒ The following fees are submitted:

<p><b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b>            Search Report has been prepared by the EPO or JPO: ..... \$840.00</p> <p>International preliminary examination fee paid            to USPTO (37 CFR 1.482) ..... \$670.00</p> <p>No international preliminary examination fee paid            to USPTO (37 CFR 1.482) but international search fee            paid to USPTO (37 CFR 1.445(a)(2)) ..... \$690.00</p> <p>Neither international preliminary examination fee            (37 CFR 1.482) nor international search fee            (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00</p> <p>International preliminary examination fee            (37 CFR 1.482) and all claims satisfied provisions            of PCT Article 33(2)-(4) ..... \$ 96.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 840.00</b></p>	<p>CALCULATIONS</p> 	<p>PTO USE ONLY</p> <p style="writing-mode: vertical-rl; transform: rotate(180deg);">RECEIVED MAY 16 2001 TC 2800 MAIL ROOM</p>
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Surcharge of **\$130.00** for furnishing the oath or declaration later than   20    
  30   months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL	45 - 20 =	25	X \$ 18.00	\$ 450.00	
INDEPENDENT	8 - 3 =	5	X \$ 78.00	\$ 390.00	
Multiple dependent claims(s) (if applicable)			+ \$260.00	\$ 260.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,940.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL =</b>				<b>\$ 1,940.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <u>  20  </u> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,940.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				<b>\$ 40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,980.00</b>	
				Amount to be:	
				refunded	\$
				charged	\$